



Docket No. 209802US2

IN RE APPLICATION OF: Katsuhiko IWASHITA, et al.

SERIAL NO: 09/884,152

FILED: June 20, 2001

FOR: OPTICAL WAVEGUIDE MODULE

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required
- Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith: Marked-up copy

The Fee has been calculated as shown below:

| CLAIMS | CLAIMS REMAINING | | HIGHEST NUMBER PREVIOUSLY PAID | NO. EXTRA CLAIMS | RATE | CALCULATIONS |
|--|------------------|-------|--------------------------------|------------------|-----------|--------------|
| TOTAL | 14 | MINUS | 20 | 0 | x \$18 = | \$0.00 |
| INDEPENDENT | 3 | MINUS | 3 | 0 | x \$84 = | \$0.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS | | | | | + \$280 = | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | | \$0.00 |
| <input type="checkbox"/> Reduction by 50% for filing by Small Entity | | | | | | \$0.00 |
| <input type="checkbox"/> Recordation of Assignment | | | | | + \$40 = | \$0.00 |
| | | | | | | TOTAL \$0.00 |

- A check in the amount of \$0.00 is attached.
- Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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MAIER & NEUSTADT, P.C.


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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

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S/MacKey
4-17-03

IN RE APPLICATION OF :

KATSUHIKO IWASHITA, ET AL. : EXAMINER: PETKOVSEK, D.

SERIAL NO: 09/884,152 :

FILED: JUNE 20, 2001 : GROUP ART UNIT: 2874

FOR: OPTICAL WAVEGUIDE MODULE :

AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the Official Action of January 16, 2003, please amend the above identified application as follows:

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IN THE TITLE

Please replace the existing Title with the following:

OPTICAL WAVEGUIDE MODULE OPTICALLY CONNECTED WITH AN
OPTICAL FIBER

04/16/2003 SHACKLEY 00000002 150030 09884152

01 FC:1201

168.00 CH

IN THE CLAIMS

Please cancel without prejudice or disclaimer Claims 1-4, 14 and 15. Please amend Claims 5, 13 and 16 as follows:

5. (Amended) An optical waveguide module comprising

Adjustment date: 07/18/2003 EEKUBAYI
04/16/2003 SHACKLEY 00000002 150030 09884152
01 FC:1201 168.00 CR